

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: CAMPBELL, Dwayne Alan, et. al.

International Appln. No.: PCT/US00/26933

Group Art Unit:

International Appln. Filed: September 29, 2000

Examiner:

For: METHODS AND SYSTEMS FOR CONTROLLING EVAPORATIVE DRYING PROCESSES USING ENVIRONMENTAL EQUIVALENCY

Box PCT/EO/DO/US
Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants request that the references identified on Form PTO-1449 appended hereto be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR 1.97

- ☐ Copies of the references are enclosed
- ☐ Copies of the references were submitted in parent application Serial No. _____. (37 CFR 1.98(d))
- ☒ A copy of the International Search Report, which issued on International Application No. PCT/US00/26933 is submitted herewith. All of the publications cited in the International Search Report are listed on the attached form PTO-1449 and Applicants understand that copies have been supplied to the U.S. Patent Office by the International Bureau.
- A. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the above application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).
- ☐ The Information Disclosure Statement submitted herewith is being filed before the mailing of a first office action after the filing of a Request For Continued Examination under 37 C.F.R. 1.114 (37 C.F.R. 1.97(b)(4)).
- B. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three months of the filing date of the above application or the date of entry into the national stage as set forth in § 1.491 of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last, but **before** the mailing date of either:
- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311,
- whichever occurs first.
- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under § 1.97(c) (\$240.00).

Express Mail Label No.
EV022190655US

- C. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before the payment of the issue fee. Also enclosed is a copy of the International Search Report which Issued on International Publication No.
- In accordance with the requirements of 37 CFR 1.97(d):

- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ Applicant hereby petitions for the consideration of the accompanying Information Disclosure Statement. 37 CFR 1.97(d)(ii).
- ☐ The petition fee set forth in § 1.17(i)(1) (\$130.00) is submitted herewith.

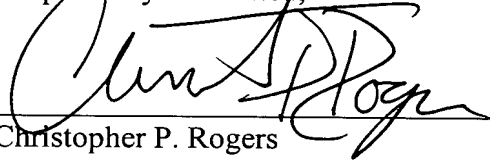
☒ Please charge required fees to Deposit Account No.07-1392.

☐ A duplicate copy of this paper is attached.

26 March 2002
Date

GlaxoSmithKline
Corporate Intellectual Property
Five Moore Drive, P.O. Box 13398
Research Triangle Park, NC 27709
Telephone: (919) 483-1240
Facsimile: (919) 483-7988

Respectfully Submitted,


Christopher P. Rogers
Registration No. 36,334

Express Mail Label No.
EV022190655US

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.
PU3807USWSERIAL NO.
To Be Assigned

APPLICANT

CAMPBELL, Dwayne Alan, et. al.

FILING DATE

Concurrently Herewith

GROUP

U.S. PATENT DOCUMENTS

Examiner Initials		Patent Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	1.	4,704,805	11/10/87	KAYA AZMI, et. al.			
	2.	4,953,298A	09/04/90	CARTER, John L., et. al.			
	3.	4,471,424A	09/11/84	PERSSON, Gleelynn W.			

Continue on page _____

FOREIGN PATENT DOCUMENTS

		Document Number	Publication Date	Country	Class	Subclass	Translation Yes No
	4. ✓	99 18405 A	04/15/99	PCT			
	5. ✓	0 656 515 A	06/07/95	Europe			
	6. ✓	0 651 219 A	05/03/95	Europe			
	7. ✓	28 27 843 A	01/17/80	Germany			
	8. ✓	0 437 888 A	07/24/91	Europe			
	9. ✓	2 177 785 A	01/28/87	Great Britain			

Continue on page _____

OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)

10. ✓	Glenn C. Ebey, "A Thermodynamic Model For Aqueous Film-Coating," <i>Pharmaceutical Technology</i> , April 1987, Pages 40, 42, 43, 46, 48, 50 XP002156193

Continue on page _____

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Express Mail Label No.
EV022190655US